## An Acylnitroso Cycloaddition Approach to the Synthesis of Highly **Oxygenated Indolizidine Alkaloids**

Gary E. Keck\* and Duane R. Romer<sup>†</sup>

Department of Chemistry, University of Utah, Salt Lake City, Utah 84112

Received February 9, 1993 (Revised Manuscript Received July 27, 1993<sup>•</sup>)

A synthetic approach to the synthesis of highly oxygenated indolizidine alkaloids is described. A key feature of the approach is intramolecular [4+2] cycloaddition between an acylnitroso dienophile and a tethered diene moiety, followed by ring contraction of the 1,2-oxazine so formed to a pyrrolidine. The requisite intermediates were prepared in optically pure form from L-glutamic acid.

Swainsonine (1) is a trihydroxylated indolizidine alkaloid originally isolated from fungal cultures<sup>1</sup> and later found in several plants.<sup>2</sup> Since its initial isolation, 1 has aroused considerable interest, primarily due to its potent and highly specific  $\alpha$ -D-mannosidase inhibitory activity.<sup>2b,3</sup> In vivo, 1 inhibits the processing of mannose-containing oligosaccharides and glycoproteins<sup>2c,4</sup> and produces a reversible phenocopy of mannosidosis, an inherited lysomal storage disease.<sup>5</sup> More recently, 1 has been shown to exhibit pronounced immunoregulatory activity, stimulating the production of lymphocytes and enhancing the expression of conclavine A receptors on spleen cells.<sup>6</sup> The alkaloid also inhibits the metastasis and growth of cancer cells in vivo.<sup>7</sup> In light of these diverse biological activities, it is hardly surprising that 1 has received considerable attention as a synthetic target. No less than nine total syntheses of the molecule have been reported to date.<sup>8</sup> In addition to studies on the natural product, the synthesis and biological testing of optically active stereoisomers of 1 has been the focus of several investigations. Thus far, eight optically active diastereomers of the natural product have been reported.<sup>9</sup> Several of these isomers show  $\alpha$ -Dmannosidase inhibitory activity comparable to the natural product.<sup>9g,10</sup> In particular, 8a-epi- (2) and 8,8a-di-episwainsonine (3) are 93 and 100% effective (relative to swainsonine) in the inhibition of lysomal  $\alpha$ -D-mannosidase activity.



In light of the intense interest in this class of alkaloids, we undertook a study designed to provide a general means for the synthesis of polyhydroxylated indolizidine alkaloids. Specifically, we envisioned the use of an intramolecular acylnitroso Diels-Alder reaction for the construction of the bicyclic [4.3.0] framework of the alkaloid. The intramolecular acylnitroso Diels-Alder reaction has been applied previously for the total synthesis of pyrrolidizine alkaloids in our laboratories.<sup>11</sup> More recently, similar methodology was utilized by Iida and co-workers in their syntheses of gephrotoxin and monomorine.<sup>12</sup> Fuchs has also used the intramolecular acylnitroso Diels-Alder reaction as a key step in his synthesis of cephalotaxin.<sup>13</sup> Numerous bimolecular reactions have also been employed for the synthesis of nitrogen-containing materials.

Our general retrosynthetic analysis of trihydroxylated indolizidine alkaloids is outlined in Scheme I. The cis 1,2-diol moiety present in 1 could be obtained by hydroxylation of an appropriately substituted bicyclic [4.4.0] 1.2oxazine 4 (or a ring-opened derivative). This could in turn be obtained via intramolecular acylnitroso Diels-Alder reaction of a  $\gamma$ -alkoxy acylnitroso species 5 which would be generated in situ by oxidation of the corresponding hydroxamic acid. In addition to providing a vehicle for

(13) Burkholder, T. P.; Fuchs, P. L. J. Am. Chem. Soc. 1988, 110, 2341.

<sup>&</sup>lt;sup>†</sup> Dow Chemical, 1776 Bldg., Midland, MI 48674.

Abstract published in Advance ACS Abstracts, September 15, 1993.
 (1) (a) Guengerich, F. P.; DiMari, S. J.; Broquist, H. P. J. Am. Chem. Soc. 1973, 95, 2055. (b) Schneider, M. J.; Ungemach, F. S.; Broquist, H. P.; Harris, T. M. Tetrahedron 1983, 39, 29.

<sup>(2) (</sup>a) Doring, P. R.; Huxtable, C. R.; Vogel, P. Neuropath. Appl. Neurobiol. 1978, 4, 285. (b) Colgate, S. M.; Doring, P. R.; Huxtable, C. (Washington, D.C.) 1982, 216, 190.

<sup>(3)</sup> Scofield, A. M.; Fellows, L. E.; Nash, R. J.; Fleet, G. W. J. Life Sci. 1986. 39. 645.

<sup>(4) (</sup>a) Cenci di Bello, I.; Fleet, G.; Namgoong, S. K.; Tadano, K. I.; Winchester, B. Biochem. J. 1989, 259, 855, and refs contained therein. (b) Dorling, P. R.; Huxtable, C.; Colegate, S. M. Biochem. J. 1980, 191, 649.
(c) Kang, M. S.; Elbein, A. D. Plant Physiol. 1983, 71, 551.
(d) Elbein, A. D.; Solf, R.; Dorling, P. R.; Vosbeck, K. Proc. Natl. Acad. Sci. U.S.A. 1981, 78, 7393.

<sup>(5) (</sup>a) Dorling, P. R.; Huxtable, C.; Cenci Di Bello, C.; Winchester, B. Biochem. Soc. Trans. 1983, 11, 717. (b) Jolly, R. D.; Winchester, B. G.;

<sup>Gehler, J.; Dorling, P. R.; Dawson, G. J. Appl. Biochem. 1981, 3, 273.
(6) (a) Hino, M.; Nakayama, O.; Tsurumi, Y.; Adachi, K.; Shibata, T.;
Terano, H.; Kohsaka, M.; Aoki, H.; Imanaka, H. J. Antibiot. 1985, 38, 926. (b) Kino, T.; Inamura, N.; Nakahara, K.; Kiyoto, S.; Goto, T.; Terano,</sup> 

H.; Kohsaka, M.; Aoki, H.; Imanaka, J. J. Antibiot. 1985, 38, 936. (7) (a) Humphries, M. J.; Matsumoto, K.; White, S. L.; Olden, K. Proc. Natl. Acad. Sci. U.S.A. 1986, 83, 1752. (b) White, S. L.; Schweitzer, K.; Humphries, M. J.; Olden, K. Biochem. Biophys. Res. Commun. 1988, 150, 615. (c) Humphries, M. J.; Matsumoto, K.; White, S. L.; Molyneux, R. J.; Olden, K. Cancer Res. 1988, 49, 1410. (d) Newton, S. A.; White, S. L.; Humphries, M. J.; Olden, K. J. Nat. Cancer Inst. 1989, 81, 1024. (e) Dennis, J. W.; Koch, K.; Beckner, D. J. Nat. Cancer Inst. 1989, 81, 1028.

 <sup>(8) (</sup>a) Dener, J. M.; Hart, D. J.; Ramesh, S. J. Org. Chem. 1988, 53, 6022.
 (b) Bennett, R. B., III; Choi, J. R.; Montgomery, W. D.; Cha, J. K. J. Am. Chem. Soc. 1989, 111, 2580, and refs contained therein.

<sup>(9) (</sup>a) Tadano, K.; Morita, M.; Hotta, Y.; Ogawa, S.; Winchester, B.; Cenci di Bello, I. J. Org. Chem. 1988, 53, 5209. (b) Yasuda, N.; Tsutsumi, H.; Takaya, T. Chem. Lett. 1985, 31. (c) Ikota, N.; Hanaki, A. Heterocycles 1987, 26, 2369. (d) Tadano, K.; Limura, Y.; Hotta, Y.; Fudabori, C.; Suami, T. Bull. Chem. Soc. Jpn. 1986, 59, 3885. (e) Tadano, K.; Hotta, Y.; Morita, M.; Suami, T.; Winchester, B.; Cenci di Bello, I. Bull. Chem. Soc. Jpn. 1987, 60, 3667. (f) Austin, G. N.; Baird, P. D.; Fleet, G. W. J.; Peach, J. M.; SMith, P. W.; Watkin, D. J. Tetrahedron 1987, 43, 3095. (g) Elbein, A. D.; Szumilo, T.; Sanford, B. A.; Sharpless, K. B.; Adams, C. Biochemistry

<sup>1987, 26, 2502.</sup> (10) Cenci Di Bello, I.; Fleet, G.; Namgoong, S. K.; Tadano, K.; Winchester, B. *Biochem. J.* 1989, 259, 855.

<sup>(11) (</sup>a) Keck, G. E. Tetrahedron Lett. 1978, 4767. (b) Keck, G. E.; Nickell, D. G. J. Am. Chem. Soc. 1980, 102, 3632.

<sup>(12) (</sup>a) Iida, H.; Watanabe, Y.; Kibayashi, C. J. Am. Chem. Soc. 1985, 107, 5534. (b) Iida, H.; Watanabe, Y.; Kibayashi, C. Tetrahedron Lett. 1986, 27, 5513.



further investigation of the intramolecular acylnitroso Diels-Alder reaction, we felt that this strategy for the synthesis of trihydroxylated indolizidine alkaloids was fundamentally different from previous syntheses. The execution of this approach is described below.

## **Results and Discussion**

Our synthetic approach began with the known, optically pure, carboxaldehyde  $\gamma$ -lactone 6, available in three steps from L-glutamic acid.<sup>14</sup> This aldehyde has previously been described as a viscous oil which polymerized upon distillation. However, we found that by using base-washed glassware for the isolation and distillation of 6, we could obtain this material as a clear, well-behaved oil which was stable for several hours at room temperature. Wittig reaction of 6 with allylidenetriphenylphosphorane gave the desired 1,3-diene 7, but in a disappointing 15-20%yield as a 1:1 mixture of E and Z isomers (eq 1). Several



other phosphorus-based reagents, previously used for the synthesis of 1,3-dienes, also failed to provide 7 in acceptable vield.

After considerable effort, we turned to an apparently little-known reaction previously reported by Yamamoto. He and co-workers had found the reaction of vinyl silane 8 with benzaldehvde at low temperature in the presence of BF<sub>3</sub>·OEt<sub>2</sub>, followed by warming to 0 °C, gave 1-phenyl-1,3-butadiene (9), exclusively as the Z isomer.<sup>15</sup> Although he was not able to isolate and fully characterize an intermediate, he proposed 10 was initially formed, which, under the reaction conditions, underwent a Lewis acid promoted anti Peterson elimination to form the Z diene, (eq 2).



Upon reaction of 6 with 8, using the conditions reported by Yamamoto (addition of  $BF_3$ ·OEt<sub>2</sub> to a solution of the aldehyde and 8 in THF at -78 °C, followed by warming





to 0 °C), we were disappointed to find that once again we could isolate 7 in only low yield. However, additional experiments revealed that when the reaction mixture was guenched at -78 °C with saturated aqueous NaHCO<sub>3</sub> and the resulting mixture was allowed to warm to room temperature, subsequent workup and chromatography resulted in the isolation of the  $\alpha$ -hydroxysilanes, 10a,b, as a 1:1 mixture of diastereomers in 85% yield. Exposure of either of these silanes, either separately or as a mixture, to catalytic potassium tert-butoxide in THF resulted in the formation of the desired diene 7, exclusively as the Eisomer (>250:1 E/Z as determined by capillary GC analysis), in 98% yield. Thus, this simple modification of Yamamoto's procedure results in the stereoselective synthesis of 7 in an overall yield of 83% from the aldehyde 6. This methodology should prove to be of general utility for the synthesis of 1,3-dienes, particularly with labile substrates such as 6.

With the diene 7 in hand, we next addressed the conversion of the lactone functionality to a suitable hydroxamic acid derivative. Direct reaction of the lactone with hydroxylamine under basic conditions resulted only in recovery of starting material. Similarly, the lactone failed to react with sodium methoxide, even under forcing conditions. After considerable investigation it was found that hydrolysis of the lactone with lithium hydroxide in aqueous THF followed by evaporation of the solvent and drying afforded the lithium carboxylate salt 11. This salt was reacted with tert-butyldiphenylchlorosilane and imidazole (2.5 equiv each) in DMF at room temperature for 24 h, giving the bis-silylated product 12 in 70% isolated yield. In addition, a 25% yield of recovered starting material was also isolated from the reaction mixture. In subsequent experiments, we discovered that by using tetrapropylammonium hydroxide as the hydroxide source in the reaction mixture and increasing the reaction time to 3 days, 12 could be obtained in 95% isolated yield. The simplicity and effectiveness of this transformation is noteworthy; however, use of tert-butyldiphenylchlorosilane is essential for the success of this reaction. If tertbutyldimethylchlorosilane is used, only small amounts (15-20%) of the corresponding bis-*tert*-butyldimethylsilane adduct are obtained, while recovered starting material accounts for the remainder of the material isolated. The bis-silane 12 could then be hydrolyzed by reaction with 1 equiv of lithium hydroxide, giving the carboxylic acid 13 in quantitative yield. Conversion of 13 to the hydroxamic acid was accomplished by a slight modification of the

<sup>(14)</sup> Dolittle, R. E.; Tumlinson, J. H.; Proveaux, A. T.; Heath, R. R. J. Chem. Ecol. 1980, 6, 473. (15) Yamamoto, Y.; Saito, Y.; Maruyama, K. J. Org. Met. Chem. 1985,

<sup>292, 311.</sup> 



method of Miller.<sup>16</sup> Thus, reaction of 13 with O-(trifluoroacetyl)-N-hydroxysuccinimide in pyridine gave the corresponding N-hydroxysuccinimide ester.<sup>17</sup> This was then added to a solution of hydroxylamine hydrochloride and Hünig's base in chloroform, giving 14 in 83% yield, with 10-15% recovery of 13.

With the successful synthesis of 14 completed, the stage was set for the penultimate intramolecular acylnitroso Diels-Alder reaction. Addition of 14 to a cooled solution of tetrapropylammonium periodate in CH<sub>2</sub>Cl<sub>2</sub> resulted in the formation of the bicyclic [4.4.0] 1,2-oxazines 16 and 17 as a mixture of diastereomers, via [4+2] cycloaddition of the acylnitroso intermediate 15 (Scheme III). Due to broad signals in the NMR spectra of 16 and 17, we were unable to assign the relative stereochemistry of these two diastereomers at this point. The assignment of configuration of the bridgehead proton in each of these products was determined at a later point in the synthesis and will be discussed in due course. The ratio of the two diastereomers was only slightly dependent upon the reaction temperature. From the data obtained in these experiments it is apparent that the limit of the kinetic ratio of these two isomers is quickly approached: the ratio of the two isomers increases by only 0.2 by decreasing the reaction temperature from -23 °C to -78 °C. Although we had hoped for a better ratio of the two diastereomeric Diels-Alder products, the results obtained in this case are not altogether surprising in light of previous work with the intramolecular acylnitroso Diels-Alder reaction.<sup>11,13</sup> The low level of stereoselectivity in this and previous examples is most probably due to the extremely high reactivity of the acylnitroso species as a dienophile. Undoubtedly, this high reactivity attenuates the influence of steric and electronic control elements which lead to the high levels of diastereoselectivity commonly found for intramolecular Diels-Alder reactions.

With the bicyclic 1,2-oxazines 16 and 17 in hand, we made very rapid progress in completing the synthesis of several diastereomers of (-)-swainsonine. Hydroxylation of 16 with  $OsO_4$  followed by protection gave the triol derivative 18 as a single diastereomer in 82% overall yield. In like fashion, 17 was converted to 20 in 77% yield. The stereochemistry of the newly formed diol unit of the acetonides 18 and 20 were unambiguously assigned, relative to the H<sub>8a</sub> proton (swainsonine numbering), by means of high-field homonuclear decoupling experiments. The coupling constant between H<sub>8a</sub> and H<sub>1</sub> of 18 and 20 is 9.7 and 8.4 Hz, respectively, clearly indicative that these two isomers are of the stereochemistry indicated. Once again, however, the coupling constants between the H<sub>8</sub> and H<sub>8a</sub>

(16) Rajendra, G.; Miller, M. J. J. Org. Chem. 1987, 52, 4471.
 (17) Sakakibara, S.; Inukai, N. Bull. Chem. Soc. Jpn. 1965, 38, 1979.





17

19

protons could not be determined, and we were thus not able to determine the relative stereochemistry between these two centers.

Reductive cleavage of the N-O bond of 18 was readily accomplished by exposure to 6% sodium amalgam in buffered ethanol, giving the hydroxy lactam in quantitative yield.<sup>18</sup> Direct mesylation of the primary hydroxyl with methanesulfonyl chloride and triethylamine in CH<sub>2</sub>Cl<sub>2</sub> at 0 °C, followed by heating the resulting mesylate at reflux in aqueous dioxane in the presence of  $K_2CO_3$ , <sup>9a</sup> gave the indolizidinone 19 in 83% overall yield from the 1,2-oxazine. Likewise, 20 was treated in an analogous fashion to give the indolizidinone 21 in 70% overall yield from 20 (Scheme IV). It was at this point in the synthesis that we were finally able to discern the relative stereochemistry between the  $H_8$  and  $H_{8a}$  protons in 19 and 21 and therefore the stereochemistry of 16 and 17 originally obtained in the Diels-Alder reaction. Homonuclear decoupling experiments clearly reveal a 7.9-Hz coupling between H<sub>8</sub> and  $H_{8a}$  of 19, and therefore these two protons must be anti to one another. Similar experiments show 21 has a coupling of 2.4 Hz between these two protons and therefore 21 possesses the syn relationship between  $H_8$  and  $H_{8a}$ . From these data it follows that 16 and 17 are the anti and syn cycloadducts, respectively.

The synthesis of the trihydroxyindolizidine alkaloids was then completed using a series of straight forward reactions. Thus, removal of the silyl group in 19 with fluoride ion, followed by reduction of the lactam and hydrolysis gave (-)-8,8a-di-*epi*-swainsonine (22). This compound was identical in all respects (<sup>1</sup>H and <sup>13</sup>C NMR, IR, TLC  $R_f$ ,  $[\alpha]_D$ ) to the compound previously reported by Tadano and co-workers.<sup>9a</sup> In a similar manner, 21 was carried through the same series of reactions to give (+)-1,2,8-tri-*epi*-swainsonine (23). This optically active diastereomer of swainsonine has not been previously de-

<sup>(18)</sup> Keck, G. E.; Fleming, S.; Nickell, D.; Weider, P. Synth. Commun. 1979, 9, 281.

scribed in the literature. Thus, this work represents the first total synthesis of 23. As an additional structure proof of 23, the hydroxy lactam 24 was mesylated, followed by displacement with benzoate.<sup>9a</sup> Presumably, this results in the C<sub>8</sub>-inverted benzoate 25. Reduction and hydrolysis of 25 as previously described gave (-)-8a-*epi*-swainsonine (26) (eq 3). All spectral properties of 26 were in good



agreement with those previously reported. In addition, all spectral data of 26 and 23, its enantiomer, were superimposible, while the optical rotations were  $+45.6^{\circ}$  and  $-43.7^{\circ}$ , respectively.

This serves to confirm the structure of 23, as well as providing yet another optically active stereoisomer of (-)swainsonine from this route. Work is in progress within our laboratories to utilize other intermediates available in this route for further syntheses of polyhydroxylated indolizidine alkaloids and will be reported in due course.

## **Experimental Section**

All reactions were carried out under an atmosphere of nitrogen. Solvents were purified according to the guidelines in Purification of Common Laboratory Chemicals (Perrin, Armarego, and Perrin, Eds.; Pergamon: Oxford, 1966). Reagent grade AcOH, MeOH, and acetone were purchased and used without further purification. Yields were calculated for material judged homogeneous by TLC and NMR. Melting points are uncorrected. NMR spectra were obtained at 300 MHz for <sup>1</sup>H and 75 MHz for <sup>13</sup>C. Mass spectra were recorded in the electron impact (EI) or chemical ionization (CI) mode. Exact masses were calculated by peak matching with an internal standard whose mass was within  $\pm 10\%$ of the unknown compound. Optical rotations were obtained using a microcell with a 1-dm path length, concentrations are in g/100mL. Elemental analyses were performed by Desert Analytics of Tucson, Arizona. In cases where exact masses were obtained in lieu of combustion analyses, TLC and <sup>1</sup>H and <sup>13</sup>C NMR spectroscopy were used as criteria for purity; these spectra are available as supplementary material.

Preparation of (E)-Trimethyl-[3-(tributylstannyl)-1-propenyl]silane (8). To a solution of allyltrimethylsilane (34.8 mL. 0.219 mol) and tetramethylethylenediamine (39.6 mL, 0.219 mol) in THF (350 mL) at -78 °C was added, dropwise, sec-butyllithium (182 mL of a 1.2 M solution, 0.219 mol), over a 30-min period. The resulting solution was warmed to -30 °C and stirred for 30 min. Tri-n-butylstannyl chloride (67.6 mL, 0.249 mol) was added dropwise to the reaction mixture, and after 15 min the reaction was quenched by adding saturated aqueous NaHCO<sub>3</sub> (50 mL). The reaction mixture was diluted with ether (500 mL), the layers were separated, and the organic layer was washed successively with saturated aqueous NaHCO3 and brine and dried (Na2SO4), followed by concentration. Vacuum distillation of the residue (bp 115-125 °C, 0.05 mm) gave 91.4 g (91%) of the desired silane as a clear oil:  $R_f 0.86$  (5% EtOAc/hexanes); IR (neat) cm<sup>-1</sup> 2980, 2960, 1600, 1245, 860, 830; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 6.16 (ddd, J = 18.3, 16.6, 8.3 Hz, 1 H), 5.32 (dd, J = 18.2, 1.1 Hz, 1H), 1.88 (dd, J = 8.3, 1.1 Hz, 2 H), 1.51–0.75 (m, 27 H), 0.41 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 146.8, 123.4, 29.2, 27.4, 20.1, 13.8, 9.4, -0.8. Anal. Calcd for C<sub>18</sub>H<sub>40</sub>SiSn: C, 53.60; H, 10.00. Found: C. 53.56: H. 10.01.

Preparation of (S)-Tetrahydro-5-[3(S)-(trimethylsily))-4(R)-hydroxyl-1-buten-4-yl]-2-furanone and (S)-Tetrahydro-5-[3(R)-(trimethylsily))-4(S)-hydroxyl-1-buten-4-yl]-2furanone (10a,b). To a solution of (S)-(-)-tetrahydro-5-oxo-2-furancarboxaldehyde (6) (4.27 g, 0.037 mol) and trimethylvinylsilane 8 (14.3 g, 0.0360 mol) in CH<sub>2</sub>Cl<sub>2</sub> (100 mL) at

-78 °C was added boron trifluoride-etherate (4.83 mL, 0.0393 mol) dropwise. After stirring at -78 °C for 30 min, the reaction mixture was carefully quenched by adding saturated aqueous NaHCO<sub>3</sub> (50 mL), followed by removal of the cold bath and warming of the reaction mixture to rt. The layers were separated, and the aqueous layer was extracted with  $CH_2Cl_2$  (2 × 50 mL). The combined organic layers were then washed successively with saturated aqueous KF and brine followed by drying (Na<sub>2</sub>SO<sub>4</sub>). Concentration followed by flash chromatography of the residue, eluting with 35% EtOAc/hexanes, gave the desired  $\alpha$ -hydroxysilanes 10a and 10b (6.97 g, 86%) as a 1:1 mixture of diastereomers. Analysis of the faster running diastereomer 10a: mp 60-62 °C;  $R_f 0.40 (35\% \text{ EtOAc/hexanes}); [\alpha]_D + 1.7^\circ (c 5.0, c)$ CHCl<sub>3</sub>); IR (CDCl<sub>3</sub>), cm<sup>-1</sup> 3450, 2980, 1765, 1630, 840; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  5.55 (dt, J = 16.9, 10.5 Hz, 1H), 4.90 (dd, J= 10.3, 1.8 Hz, 1 H), 4.85 (ddd, J = 16.9, 1.8, 1.0 Hz, 1 H), 4.56 (dt, J = 7.5, 2.4 Hz, 1 H), 4.18 (dd, J = 11.2, 1.0 Hz, 1 H), 2.56-2.41 (m, 2 H), 2.32-2.19 (m, 1 H), 2.08-1.96 (m, 1 H), 1.69 (dd, J = 10.8, 1.0 Hz, 1 H), 0.04 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  177.9, 135.5, 114.4, 82.7, 71.1, 38.0, 28.9, 19.4, -1.7. Anal. Calcd for C11H20O3Si: C, 57.86; H, 8.83. Found: C, 57.83; H, 8.78. Analysis of slower running diastereomer 10b: Rf 0.24 (35% EtOAc/ hexanes); [α]<sub>D</sub> +35.1° (c 3.0, CHCl<sub>3</sub>); IR (neat) cm<sup>-1</sup> 3440, 2950, 2900, 1765, 1650, 1245, 1190, 845; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 5.59 (dt, J = 17.0, 10.4 Hz, 1 H), 4.97–4.86 (m, 2 H), 4.62 (dt, J= 7.4, 2.2 Hz, 1 H), 3.63 (bt, J = 8.1 Hz, 1 H), 2.50 (m, 2 H), 2.16 (m, 2 H), 0.025 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) & 177.8, 136.0, 115.3, 81.6, 74.2, 40.4, 28.9, 24.2, -1.7. Anal. Calcd for C11H20O3Si: C, 57.86; H, 8.83. Found: C, 57.67; H, 9.14.

Preparation of (S)-(+)-Dihydro-5-[(E)-1,3-butadienyl]-2(3H)-furanone (7). To a stirred solution of the allylsilanes 10a and 10b (1.37 g, 6.00 mmol) in THF (15 mL) was added potassium tert-butoxide (67.3 mg, 0.600 mmol) in one portion. After stirring at rt for 15 min, the reaction mixture was diluted with 50 mL of EtOAc and washed successively with saturated aqueous NH<sub>4</sub>Cl, saturated aqueous NaHCO<sub>3</sub>, and brine. Drying (Na<sub>2</sub>SO<sub>4</sub>), followed by concentration and flash chromatography, eluting with 35% EtOAc/hexanes gave 7 (779 mg, 94%) as a colorless oil:  $R_f 0.32$  (35% EtOAc/hexanes);  $[\alpha]_D$  +54.3° (c 8.6, CHCl<sub>3</sub>); IR (CDCl<sub>3</sub>) cm<sup>-1</sup> 3160, 3095, 2980, 2950, 1770, 1605, 1400, 1170, 1000; 300-MHz 1H NMR (CDCl3) & 6.27 (m, 2 H), 5.66 (ddd, J = 14.6, 6.7, 1.0 Hz, 1 H), 5.24 (m, 1 H), 5.15 (m, 1 H), 4.93 (dd,  $J = 13.9, 7.0 \text{ Hz}, 1 \text{ H}), 2.52-2.31 \text{ (m, 2 H)}, 2.01-1.89 \text{ (m, 2 H)}; {}^{13}\text{C}$ NMR (CDCl<sub>3</sub>) δ 176.8, 135.3, 133.3, 130.1, 119.5, 80.1, 28.6, 28.4; mass spectrum (EI) m/z (rel inten) (M+) 138 (86.6), 110 (14.0), 109 (4.4), 95 (100), 83 (34.4), 56 (91); exact mass calcd for  $C_8H_{10}O_2$ 138.0681, found 138.0679.

Preparation of tert-Butyldiphenylsilyl 4(S)-(-)-[(tert-Butyldiphenylsilyl)oxy]-5(E),7-octadienoate (12). To a solution of 7 (264 mg, 1.91 mmol) in THF/MeOH (4:1, 10 mL) was added tetrapropylammonium hydroxide (4.1 mL of a 0.1 g/mL solution in  $H_2O$ , 410 mg, 1.91 mmol). After stirring at rt for 30 min, the reaction mixture was concentrated without heating to dryness. The resulting residue was dried by adding and evaporating (without heating)  $5 \times 10$ -mL portions of absolute ethanol. The residue was dissolved in DMF (10 mL) and treated with imidazole (221 mg, 3.25 mmol), tert-butyldiphenylsilyl chloride (832 mg, 3.06 mmol) and catalytic 4-DMAP. After stirring at rt for 3 days, the reaction mixture was taken up in ether (100 mL), washed successively with saturated aqueous NH<sub>4</sub>-Cl, saturated aqueous NaHCO<sub>3</sub>, water, and brine. The organic layer was dried (Na<sub>2</sub>SO<sub>4</sub>) and concentrated, followed by flash chromatography of the residue, eluting with 5% EtOAc/hexanes, giving 12 as a clear oil (1.12 g, 96%):  $R_f$  0.54 (10% EtOAc/ hexanes);  $[\alpha]_D$  -9.8° (c 2.2, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>) cm<sup>-1</sup> 3050, 2935, 2860, 1720, 1430, 1105; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) & 7.67-7.33 (m, 10 H), 6.20 (ddd, J = 17.0, 16.9, 10.2 Hz, 1 H), 5.89 (dd, J= 14.7, 10.5 Hz, 1 H), 5.58 (dd, J = 15.4, 6.7 Hz, 1 H), 5.05 (d, J = 15.2 Hz, 1 H), 5.00 (dd, J = 8.8, 1.5 Hz, 1 H), 4.28 (dd, J =11.6, 5.8 Hz, 1 H), 2.49-2.42 (m, 2 H), 1.90-1.82 (m, 2 H), 1.07 (s, 18 H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ 172.8, 136.0, 135.9, 135.4, 130.0, 127.7, 127.6, 127.5, 117.2, 72.9, 32.8, 31.3, 27.1, 27.0, 19.4, 19.2. Anal. Calcd for C40H48O3Si2: C, 75.90; H, 7.64. Found: C, 76.11; H, 7.73.

Preparation of (S)-(-)-4-[(*tert*-Butyldiphenylsilyl)oxy]-5(E),7-Octadienoic Acid (13). To a solution of 12 (8.83g, 0.0140 mol) in THF/MeOH/H<sub>2</sub>O (4:1:1, 50 mL) was added LiOH·H<sub>2</sub>O (0.644 g, 0.0153 mol) and the resulting solution was stirred at rt. After 15 min, 25 mL of saturated aqueous NH<sub>4</sub>Cl was added, and the layers were separated. The aqueous layer was extracted with of EtOAc  $(3 \times 25 \text{ mL})$ , and the combined organic layers were washed with brine, dried (Na<sub>2</sub>SO<sub>4</sub>), and then concentrated. Flash chromatography of the residue, eluting with 25% EtOAc/hexanes, gave 13 as an amorphous solid (5.17 g, 0.0131 mol, 94%):  $R_f 0.34$ (35% EtOAc/hexanes); [a]<sub>D</sub>-16.4° (c 6.2, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>) cm<sup>-1</sup> 3300, 3080, 2940, 2860, 1710, 1605, 1590, 1425, 1100, 1000, 820, 700; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 7.67-7.34 (m, 10 H), 6.19 (ddd, J = 17.0, 17.0, 10.2 Hz, 1 H), 5.91 (dd, J = 15.2, 10.5 Hz)1 H), 5.56 (dd, J = 15.2, 6.7 Hz, 1 H), 5.05 (d, J = 17.5 Hz, 1 H), 5.00 (d, J = 10.5 Hz, 1 H), 4.28 (dd, J = 12.0, 5.6 Hz, 1 H), 2.35 (ddd, J = 13.7, 7.9, 7.9 Hz, 2 H), 1.81 (ddd, J = 13.2, 7.7, 7.7 Hz,2 H), 1.07 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 180.2, 136.2, 136.0, 135.9, 135.1, 134.0, 131.6, 129.8, 129.6, 127.8, 127.6, 127.5, 117.3, 72.7, 32.3, 29.2, 27.1, 19.4. Anal. Calcd for C<sub>24</sub>H<sub>30</sub>O<sub>3</sub>Si: C, 73.06; H, 7.92. Found: C, 73.21; H, 7.88.

Preparation of N-Succinimidyl 4(S)-[(tert-Butyldiphenylsilyl)oxy]-5(E),7-octadienoate. To a solution of the carboxylic acid 13 (418 mg, 1.06 mmol) in THF (5 mL) was added N-(trifluoroacetyl)succinimide (280 mg, 1.32 mmol) and pyridine (168 mg, 2.12 mmol) and the resulting solution was stirred overnight at rt. The reaction mixture was taken up in EtOAc (25 mL), washed successively with 1% HCl, saturated aqueous NaHCO<sub>3</sub>, and brine, followed by drying (Na<sub>2</sub>SO<sub>4</sub>). Concentration of the resulting solution gave the desired succinimide ester (528 mg, 100%) of sufficient purity for use in the next reaction. An analytical sample was prepared by flash chromatography, eluting with 35% EtOAc/hexanes:  $R_f 0.19$  (25% EtOAc/hexanes);  $[\alpha]_D$ -8.15° (c 1.3, CHCl<sub>3</sub>); IR (neat) cm<sup>-1</sup> 3080, 2915, 2880, 1810, 1780, 1740, 1430, 1360, 1200, 1100, 920; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 7.67-7.26 (m, 10 H), 6.19 (ddd, J = 16.9, 10.0, 10.0 Hz, 1 H), 5.91(dd, J = 15.3, 10.5 Hz, 1 H), 5.55 (dd, J = 15.3, 6.7 Hz, 1 H), 5.50(m, 2 H), 4.32 (dd, J = 11.5, 5.7 Hz, 1 H), 2.82 (s, 4 H), 2.63 (m, 2 H), 2.632 H), 1.91 (m, 2 H), 1.07 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 169.1, 168.8, 136.1, 136.0, 135.9, 134.5, 133.8, 132.0, 129.8, 129.7, 127.71, 127.67, 127.5, 117.7, 72.3, 32.1, 27.1, 26.2, 25.6, 19.4. Anal. Calcd for C<sub>28</sub>H<sub>33</sub>NO<sub>5</sub>Si: C, 68.40; H, 6.77. Found: C, 68.25; H, 6.59.

Preparation of (S)-(-)-4-[(tert-Butyldiphenylsilyl)oxy]-5(E),7-octadienohydroxamic Acid (14). Diisopropylethylamine (1.64 g, 13.1 mmol) in CHCl<sub>3</sub> (5 mL) was added dropwise to a slurry of H<sub>2</sub>NOH·HCl (454 mg, 6.53 mmol) in CHCl<sub>3</sub> (15 mL) at 0 °C, and the resulting solution was stirred at 0 °C for 30 min. The succinimide ester (642 mg, 1.30 mmol) in CHCl<sub>3</sub> (10 mL) was added dropwise to this solution, and the resulting mixture was stirred at 0 °C for 2 h and then allowed to warm to rt. The reaction mixture was washed with saturated aqueous NH4Cl, the layers were separated, and the aqueous layer was extracted with EtOAc. The combined organic layers were dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated. Flash chromatography, eluting with 50% EtOAc/hexanes, gave the hydroxamic acid 14 (530 mg, 80%):  $R_f$ 0.14 (5% MeOH/CHCl<sub>3</sub>); [α]<sub>D</sub>-20.9° (c 0.73, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>) cm<sup>-1</sup> 3410, 3050, 2940, 2860, 1660, 1105, 1000; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) & 7.95 (bs, 1H), 7.62 (m, 4 H), 7.37 (m, 6 H), 6.20 (ddd, J = 16.9, 10.2, 1.0 Hz, 1 H), 5.92 (dd, J = 15.2, 10.4 Hz, 1 H), 5.56 (dd, J = 15.2, 6.4 Hz, 1 H), 5.07 (dd, J = 15.6, 1.0 Hz, 1 H), 5.02(dd, J = 8.7, 1.0 Hz, 1 H), 4.26 (dd, J = 10.7, 5.0 Hz, 1 H), 2.08(m, 2 H), 1.79 (m, 2 H), 1.06 (s, 9 H); 75-MHz <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  171.1, 136.2, 136.0, 135.9, 135.0, 134.1, 133.6, 131.6, 129.9, 129.8, 127.8, 127.6, 117.5, 72.8, 32.8, 28.1, 27.1, 19.4. Anal. Calcd for C24H31NO3Si: C, 70.38; H, 7.63. Found: C, 70.25; H, 7.63.

Preparation of (5S)-5-[(tert-Butyldiphenylsilyl)oxy]pyrido[1,2-b][1,2]oxazin-8-ones 16 and 17. To a solution of tetrapropylammonium periodate (188 mg, 0.499 mmol) in CH<sub>2</sub>-Cl<sub>2</sub> (10 mL) at -78 °C was added, dropwise, a solution of the hydroxamic acid 14 (186 mg, 0.454 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (5 mL). After stirring at -78 °C for 4 h, the reaction mixture was allowed to warm to 0 °C over a 30-min period. The solution was taken up in EtOAc (50 mL) and washed with 2 × 10-mL portions of saturated aqueous Na<sub>2</sub>SO<sub>3</sub> and then dried over Na<sub>2</sub>SO<sub>4</sub>. Concentration followed by flash chromatography of the residue eluting with 75% EtOAc/hexanes gave 180 mg (96%) of 16 and 17 as a mixture of diastereomers. The isomers were separated by preparative HPLC (10- $\mu$ m silica, 25 cm × 21.4 mm column) eluting

with 30% THF/hexanes, to give a 2.4:1 ratio of the two diastereomeric cycloadducts. Analysis of the major 8S,8aR (swainsonine numbering) isomer 16: R<sub>f</sub> 0.20 (50% EtOAc/ hexanes);  $[\alpha]_D = 75.9^\circ$  (c 3.9, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>) cm<sup>-1</sup> 3085, 3075, 2980, 2970, 2880, 1680, 1110; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 7.69-7.26 (m, 10 H), 5.81 (m, 2 H), 4.62 (bd, J = 15.8 Hz, 1 H), 4.38 (bs, 1 H), 4.25 (bd, J = 15.8 Hz, 1 H), 3.77 (ddd, J = 9.0, 6.0, 3.2Hz, 1 H), 2.64 (ddt, J = 17.1, 5.4, 1.0 Hz, 1 H), 2.18 (m, 1 H), 1.77-1.60 (m, 2 H), 1.08 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 165.6, 135.8, 130.2, 130.1, 128.0, 127.9, 124.5, 71.3, 68.8, 63.3, 28.9, 27.7, 26.9, 19.3. Anal. Calcd for C<sub>24</sub>H<sub>29</sub>O<sub>3</sub>NSi: C, 70.73; H, 7.17. Found: C, 70.51; H, 7.11. Analysis of the minor 8S,8aS (swainsonine numbering) isomer 17:  $R_f 0.20 (50\% \text{ EtOAc/hexanes}); [\alpha]_D - 37.3^{\circ}$ (c 2.7, CHCl<sub>2</sub>); IR (neat) cm<sup>-1</sup> 3080, 2980, 2975, 1675, 1110; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 7.68-7.26 (m, 10 H), 5.90 (m, 1 H), 5.58 (m, 1 H), 4.72 (m, 1 H), 4.37 (bs, 1 H), 4.34-4.22 (m, 2 H), 2.73 (ddd, J = 16.2, 10.1, 5.5 Hz, 1 H), 2.36 (dt, J = 17.3, 5.5 Hz, 1H), 1.77 (m, 2 H), 1.06 (s, 9 H); 75-MHz <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 165.9, 136.0, 127.9, 127.8, 126.0, 125.5, 124.1, 69.1, 68.6, 61.6, 28.6, 27.0, 26.9, 19.5. Anal. Calcd for C<sub>24</sub>H<sub>29</sub>O<sub>3</sub>NSi: C, 70.73; H, 7.17. Found: C, 70.73; H, 7.11.

Preparation of 1(S),2(S),8(S),8a(S)-Acetonide 18. To a solution of the 1,2-oxazine 16 (51.6 mg, 0.126 mmol) in acetone/ water (8:1, 2 mL) was added NMO (25.9 mg, 0.252 mmol) and catalytic OsO4 (2 drops of a solution of 1 g of OsO4 in 100 mL of THF), and the resulting solution was stirred at rt for 24 h. The reaction mixture was concentrated to dryness by blowing air over the solution and the residue was dissolved in EtOAc and filtered through a plug of silica gel. The solution was concentrated to dryness and the residue was dissolved in 2,2-dimethoxypropane (5 mL). A small spatula of Dowex (H<sup>+</sup>) resin beads were added and the solution was stirred at rt for 4 h. The reaction mixture was filtered through a small plug of Celite, and concentrated. The residue was purified by flash chromatography, eluting with 20% EtOH/EtOAc to give 49.7 mg (82%) of the desired acetonide 18 as a clear oil: R<sub>f</sub> 0.20 (EtOAc); [α]<sub>D</sub>-64.3° (c 3.6, CHCl<sub>3</sub>); IR (CDCl<sub>3</sub>) cm<sup>-1</sup> 2940, 1665, 1360, 1090; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  7.65–7.30 (m, 10 H), 4.40 (d, J = 13.2 Hz, 1 H), 4.30 (bs, 1 H), 4.22 (dd, J = 13.2, 2.3 Hz, 1 H), 4.20 (dd, J = 5.5, 2.3 Hz, 1 H), 3.92 (d, J = 9.7 Hz, 1 H), 3.77 (dd, J = 9.7, 5.2 Hz, 1 H), 2.88 (ddd, J)J = 19.0, 12.8, 6.2 Hz, 1 H), 2.25 (m, 1 H), 1.66–1.56 (m, 2 H), 1.34 (s, 3 H), 1.30 (s, 3 H), 1.08 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 164.9, 135.74, 135.69, 133.1, 130.1, 130.0, 127.9, 127.8, 127.8, 110.2, 72.0, 71.7, 70.6, 67.1, 66.8, 27.8, 27.3, 27.0, 26.0, 24.1, 19.2; mass spectrum (EI), m/z (rel inten), (M-57) 424 (93.7), 350 (75.0), 272 (64.4), 199 (100); exact mass calcd for C<sub>23</sub>H<sub>26</sub>O<sub>5</sub>NSi 424.1579, found 424.1571.

**Preparation of 1(R),2(R),8(S),8a(R)-Acetonide 20.** This compound was prepared from 18 in the same manner as the isomeric material in 77% yield. Analysis of **20**:  $R_{f}$  0.36 (75% EtOAc/hexanes);  $[\alpha]_{D}$  +59.6° (c 3.1, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>) cm<sup>-1</sup> 2920, 1660, 1360, 1100, 1070; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  7.75-7.33 (m, 10 H), 4.73 (dd, J = 8.4, 5.6 Hz, 1 H), 4.48 (bs, 1 H), 4.44 (d, J = 13.2 Hz, 1 H), 4.27 (dd, J = 5.6, 1.7 Hz, 1 H), 4.19 (dd, J = 13.2, 2.2 Hz, 1 H), 3.68 (dd, J = 8.6, 4.0 Hz, 1 H), 2.69–2.59 (m, 1 H), 2.13 (m, 1 H), 1.56 (m, 2 H), 1.49 (s, 3 H), 1.35 (s, 3 H), 1.11 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  166.6, 136.0, 135.8, 133.5, 132.7, 130.1, 130.0, 127.9, 127.7, 109.8, 71.9, 70.2, 69.0, 66.5, 65.6, 28.0, 27.9, 27.1, 27.0, 26.0, 19.5; mass spectrum (EI) m/z (rel inten) (M-57) 424 (90.3), 408 (16.2), 366 (63.7), 272 (60.9), 199 (100); exact mass calcd for C<sub>23</sub>H<sub>28</sub>O<sub>5</sub>NSi 424.1579, found 424.1574.

Preparation of (1(S),2(R),8(S),8a(S))-8-(tert-Butyldiphenylsiloxy)-1,2-(isopropylidenedioxy)-octahydro-5-indolizidinone 19. To a solution of 18 (339 mg, 0.729 mmol) in ethanol (25 mL) at 0 °C was added Na<sub>2</sub>HPO<sub>4</sub> (497 mg, 3.52 mmol) followed by freshly powdered 6% Na(Hg) (3.38 g,  $10 \times$  by weight), and the resulting slurry was stirred at 0 °C for 1 h. The reaction mixture was then filtered through a pad of silica gel overlaid with Celite, eluted with 20% EtOH/EtOAc, and then concentrated. The residue was dissolved in EtOAc (50 mL), washed with saturated aqueous NH4Cl, and dried (Na2SO4). Concentration gave the free lactam (344 mg, 100%) as a colorless solid ( $R_f 0.14$ , EtOAc). To a stirring solution of the lactam (344 mg, 0.700 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 mL) at 0 °C was added triethylamine (144 mg, 1.42 mmol) followed by methanesulfonyl chloride (89.5 mg, 0.781 mmol), and the resulting solution was stirred at 0 °C for 30 min. The reaction mixture was washed with water (10 mL), the aqueous layer was extracted with EtOAc  $(2 \times 10 \text{ mL})$ , and the combined organic layers were dried (Na<sub>2</sub>SO<sub>4</sub>) and then concentrated to give the corresponding mesylate  $(R_1 0.24, \text{EtOAc})$  (387 mg, 98%) as an amorphous solid. To a solution of the mesylate (387 mg, 0.685 mmol) in dioxane/H<sub>2</sub>O (4:1, 10 mL) was added K<sub>2</sub>CO<sub>3</sub> (392 mg, 2.84 mmol) and the resulting solution was heated at 90 °C for 5 h and then concentrated. The residue was dissolved in EtOAc (50 mL), washed with saturated aqueous NH<sub>4</sub>Cl, dried  $(Na_2SO_4)$ , and concentrated. Flash chromatography of the residue, eluting with 90% EtOAc/hexanes, gave the desired bicyclic lactam 19 (272 mg, 83% overall) as a colorless oil:  $R_f 0.42$ (EtOAc); [α]<sub>D</sub> -19.4° (c 3.3, CHCl<sub>3</sub>); IR (neat) cm<sup>-1</sup> 3030, 2930. 1450, 1375, 1110, 1085; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) § 7.76-7.26 (m, 10 H), 4.52 (ddd, J = 6.6, 6.1, 3.4 Hz, 1 H), 4.16 (dd, J = 6.1, 6.0 Hz, 2 H), 3.66 (ddd, J = 7.9, 7.9, 7.9 Hz, 1 H), 3.53 (dd, J = 7.9, 5.9 Hz, 1 H), 3.25 (dd, J = 13.6, 3.4 Hz, 1 H), 2.40 (ddd, J= 9.6, 4.7, 4.7 Hz, 1 H), 2.04 (ddd, J = 17.8, 8.8, 8.8 Hz, 1 H), 1.79 (m, 2 H), 1.56 (s, 3 H), 1.32 (s, 3 H), 1.09 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) & 167.9, 136.0, 135.8, 133.8, 133.1, 129.9, 129.8, 127.62, 127.58, 113.5, 83.4, 76.4, 70.6, 68.1, 49.3, 29.7, 29.3, 27.6, 26.8, 25.4, 19.1; mass spectrum (EI) m/z (rel inten) (M-57) 408 (13.4), 350 (82.8), 272 (80.2), 244 (11.5), 199 (23.6); exact mass calcd for  $C_{23}H_{28}NO_4Si (M-57.070425, tBu^+) 408.1631, found 408.1638.$ 

Preparation of (1(R), 2(S), 8(S), 8a(R))-8-(*tert*-Butyldiphenylsiloxy)-1,2-(isopropylidenedioxy)octahydro-5-indolizidinone 21. This material was prepared from 20 in an analogous manner to that for the isomeric material in 70% overall yield.  $R_{f}$  of lactam alcohol: 0.20 (EtOAc).  $R_{f}$  of mesylate: 0.51 (EtOAc). Analysis of final product 21:  $R_f 0.43 (75\% \text{ EtOAc/hexanes}); [\alpha]_D$ +2.47° (c 1.04, CHCl<sub>3</sub>); IR (neat) cm<sup>-1</sup> 2930, 1630, 1450, 1380, 1375, 1110, 1085; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) § 7.66-7.28 (m, 10 H), 4.76 (dd, J = 6.2, 6.2 Hz, 1 H), 4.65 (ddd, J = 6.2, 6.2, 2.4 Hz, 1 H), 4.45 (ddd, J = 6.2, 2.4, 2.0 Hz, 1 H), 3.46 (dd, J = 6.3, 2.4 Hz, 1 H, 3.46 (dd, J = 13.2, 4.1 Hz, 1 H), 2.45 (m, 1 H), 2.20 (ddd, J = 17.9, 6.8, 2.1 Hz, 1 H), 1.65 (m, 2 H), 1.48 (s, 3 H), 1.29 (s, 3 H), 1.07 (s, 9 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 168.7, 135.7, 133.4, 130.1, 127.9, 113.0, 79.9, 76.4, 67.8, 65.0, 49.6, 27.7, 26.2, 25.5; mass spectrum (EI) m/z (rel inten) (M-57) 408 (38.2), 350 (100), 272 (91.1), 244 (12.7), 199 (40.1); exact mass calcd for C<sub>28</sub>H<sub>28</sub>NO<sub>4</sub>Si (M-57.070425, tBu<sup>+</sup>) 408.1631, found 408.1634.

Preparation of (1(S),2(R),8(S),8a(S))-8-Hydroxy-1,2-(isopropylidenedioxy)octahydro-5-indolizidinone. To a solution of the silvl ether 19 (272 mg, 0.584 mmol) in THF (5 mL) was added tetrabutylammonium fluoride (0.87 mL of a 1.0 M solution in THF, 0.876 mmol) and the resulting solution was stirred for 12 h at rt. The reaction mixture was concentrated, and the residue was dissolved in EtOAc (25 mL), washed with saturated aqueous NH4Cl and brine, dried (Na2SO4), and concentrated. The residue was purified by flash chromatography, eluting with EtOAc to give the desired alcohol (127 mg, 96%) as a clear oil:  $R_f$  0.19  $(EtOAc); [\alpha]_D - 26.2^{\circ} (c 1.7, CDCl_3); IR (CDCl_3) cm^{-1} 3600, 2990,$ 2970, 1640, 1450, 1410, 1375, 1210, 1080; 300-MHz <sup>1</sup>H NMR  $(CDCl_8) \delta 4.74 (ddd, J = 6.4, 6.4, 2.7 Hz, 1 H), 4.53 (dd, J = 6.2, 1)$ 6.2 Hz, 1 H), 4.18 (dd, J = 13.7, 6.4 Hz, 1 H), 3.71 (ddd, J = 11.3, 9.0, 4.0 Hz, 1 H), 3.41 (dd, J = 13.2, 2.3 Hz, 1 H), 3.37 (dd, J =8.6, 6.0 Hz, 1 H), 2.98 (bs, 1 H), 2.55 (ddd, J = 18.1, 6.7, 1.9 Hz, 1 H), 2.36 (ddd, J = 18.5, 11.7, 7.0 Hz, 1 H), 2.11 (m, 1 H), 1.84 (ddd, J = 24.4, 11.5, 6.8 Hz, 1 H), 1.56 (s, 3 H), 1.37 (s, 3 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) § 168.0, 114.0, 84.0, 76.6, 69.7, 67.9, 49.3, 29.7, 29.6, 27.6, 25.4; mass spectrum (EI), m/z (rel inten) 227 (77), 212 (55), 169 (42), 152 (67); exact mass calcd for C11H17NO4 227.1157, found 227.1157.

Preparation of (1(R),2(S),8(S),8a(R))-8-Hydroxy-1,2-(isopropylidenedioxy)octahydro-5-indolizidinone. This material was prepared from silyl ether 21 in an analogous manner in 95% yield:  $R_f$  0.36 (EtOH/toluene 1:4);  $[\alpha]_D$  + 33.0° (c 1.2, CDCl<sub>3</sub>); IR (CHCl<sub>3</sub>) cm<sup>-1</sup> 3600, 2940, 1640, 1450, 1375, 1210, 1080; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) & 4.84 (dd, J = 6.2, 6.2 Hz, 1 H), 4.50 (ddd, J = 6.2, 6.2, 2.2 Hz, 1 H), 4.33 (ddd, J = 4.4, 4.4, 2.3 Hz, 1 H), 4.15 (dd, J = 13.9, 6.2 Hz, 1 H), 3.51 (dd, J = 6.5, 2.3 Hz, 1 H), 3.47 (dd, J = 14.3, 1.6 Hz, 1 H), 2.91 (bm, 1 H), 2.56-2.99 (m, 2 H), 2.12-1.89 (m, 2 H), 1.53 (s, 3 H), 1.37 (s, 3 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) & 168.8, 113.1, 79.5, 76.5, 66.9, 62.4, 49.6, 28.2, 27.8, 26.0, 25.6; mass spectrum (EI), m/z (relinten) (M+) 227 (79), 212 (50), 169 (42), 152 (67); exact mass calcd for C<sub>11</sub>H<sub>17</sub>NO<sub>4</sub> 227.1157, found 227.1160.

Preparation of (1(S),2(R),8(S),8a(S))-1,2-O-Isopropylideneoctahydro-1,2,8-indolizidinetriol. To a solution of (1(S),2-(R),8(S),8a(S))-8-hydroxy-1,2-(isopropylidenedioxy)octahydro-5-indolizidinone (38.2 mg, 0.168 mmol) in THF (5 mL) was added BH<sub>3</sub>-SMe<sub>2</sub> (0.841 mmol, 84 uL of a 10.0 M solution), and the resulting solution was stirred at rt for 4 h. The reaction mixture was carefully quenched by adding EtOH (3 mL) and the solution was concentrated. The residue was dissolved in EtOH (5 mL) and refluxed for 2 h, cooled to rt, and concentrated. The residue was purified by flash chromatography, eluting with 10% EtOH/ EtOAc to give the free amine (31.5 mg, 88%) as a clear oil:  $R_f$ 0.31 (10% EtOH/EtOAc); [α]<sub>D</sub>-15.8° (c 0.39, CHCl<sub>s</sub>); IR (CHCl<sub>s</sub>) cm<sup>-1</sup> 3950, 2930, 1340, 1050; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 4.69 (dd, J = 11.8, 6.3 Hz, 1 H), 4.44 (dd, J = 6.6, 6.6 Hz, 1 H), 3.44(bs, 1 H), 3.37 (dd, J = 9.1, 6.7 Hz, 1 H), 2.84 (db, J = 8.4 Hz, 1 H), 2.37 (dd, J = 9.4, 5.3 Hz, 1 H), 2.12–1.93 (m, 3 H), 1.69–1.54 (m, 3 H), 1.50 (s, 3 H), 1.31 (s, 3 H), 1.29–1.19 (m, 1 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) & 83.6, 77.6, 77.3, 74.5, 59.8, 51.3, 33.7, 29.1, 27.2, 25.1, 23.9; mass spectrum (EI), m/z (rel inten) (M+) 213 (52), 198 (7), 156 (11), 155 (6), 138 (100), 113 (90); exact mass calcd for  $C_{11}H_{19}$ -NO<sub>3</sub> 213.1365, found 213.1363.

Preparation of (1(*R*),2(*S*),8(*S*),8a(*R*))-1,2-O-Isopropylideneoctahydro-1,2,8-indolizidinetriol. This material was prepared from (1(*R*),2(*S*),8(*S*),8a(*R*))-8-hydroxy-1,2-(isopropylidenedioxy)octahydro-5-indolizidinone in an analogous manner in 87% yield.  $R_f$ 0.20 (10% EtOH/hexanes);  $[\alpha]_D$ +54.2° (c 0.50, CHCl<sub>3</sub>); IR (CDCl<sub>3</sub>) cm<sup>-1</sup> 3510, 2940, 1380, 1370, 1205, 1155, 1070, 1005; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  4.63 (m, 2 H), 4.05 (bs, 1 H), 3.38 (dd, J = 9.6, 6.2 Hz, 1 H), 2.94 (dd, J = 11.3, 4.4 Hz, 1 H), 2.38 (bs, 1 H), 2.36 (dd, J = 9.4, 5.34 Hz, 1 H), 2.24–2.15 (m, 2 H), 1.93–1.73 (m, 2 H), 1.51 (s, 3 H), 1.50–1.37 (m, 2 H), 1.33 (s, 3 H); <sup>12</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  113.9, 79.8, 77.1, 72.4, 64.8, 60.4, 52.4, 30.8, 27.1, 25.0, 19.2; mass spectrum (EI) *m*/z (rel inten) (M+) 213 (22.3), 198 (5.7), 156 (10.2), 155 (8.3), 138 (100), 126 (12.7), 113 (78.3); exact mass calcd for C<sub>11</sub>H<sub>19</sub>NO<sub>3</sub> 213.1365, found 213.1367.

Preparation of (1(S),2(R),8(S),8a(S))-Octahydro-1,2,8indolizidinetriol (8,8a-di-epi-swainsonine) 22. A solution of the acetonide (28.6 mg, 0.134 mmol) in 1 M HCl (2 mL) was refluxed for 30 min, cooled to rt, and concentrated. The residue was chromatographed on an Amberlyst-IRA 400 (OH-) ionexchanged column, and the ninhydrin-positive fractions were combined and concentrated. The residue was then chromatographed by flash chromatography, eluting with NH4OH/n-BuOH/ EtOH/CH<sub>2</sub>Cl<sub>2</sub> (1:3:3:3) to give 8,8a-di-epi-swainsonine (22) (19.5 mg, 84%) as a white, crystalline solid: mp 129–130 °C;  $R_f$  0.33  $(NH_4OH/n-BuOH/EtOH/CH_2Cl_2 (1:3:3:3)); [\alpha]_D - 18.7^{\circ} (c 0.55,$ MeOH); IR (CHCl<sub>3</sub>) cm<sup>-1</sup> 3350, 2930, 2820, 1360, 1260; 300-MHz <sup>1</sup>H NMR (D<sub>2</sub>O)  $\delta$  4.00 (dd, J = 13.4, 6.8 Hz, 1 H), 3.76 (dd, J =7.6, 7.6 Hz, 1 H), 3.36 (ddd, J = 10.5, 10.5, 4.4 Hz, 1 H), 3.24 (dd, J = 10.7, 6.7 Hz, 1 H), 2.76 (bd, J = 11.4 Hz, 1 H), 2.21 (dd, J= 10.7, 5.9 Hz, 1 H), 2.07 (ddd, J = 11.4, 11.4, 2.9 Hz, 1 H), 1.98 (dd, J = 8.5, 8.5 Hz, 1 H), 1.82 (m, 1 H), 1.57 (m, 1 H), 1.31 (1 H), 1.15 (m, 1 H);  ${}^{13}C$  NMR (D<sub>2</sub>O)  $\delta$  75.9, 74.0, 72.6, 69.8, 61.7, 53.6, 34.5, 24.8; mass spectrum (EI) m/z (rel inten) (M+) 173 (10), 156 (6), 155 (10), 138 (5), 113 (100), 96 (76); exact mass calcd for C<sub>8</sub>H<sub>15</sub>NO<sub>3</sub> 173.1052, found 173.1052.

Preparation of (1(R),2(S),8(S),8a(R))-Octahydro-1,2,8indolizidinetriol (1,2,8-tri-epi-swainsonine) 23. This material was prepared from (1(R), 2(S), 8(S), 8a(R)) - 1, 2-O-isopropylideneoctahydro-1,2,8-indolizidinetriol in an analogous manner in 83% yield: mp 120-123 °C; Rf 0.37 (NH4OH/n-BuOH/EtOH/  $CH_2Cl_2$  (1:3:3:3));  $[\alpha]_D$  +45.6° (c 0.40, MeOH); IR (CHCl<sub>8</sub>) cm<sup>-1</sup>; 300-MHz <sup>1</sup>H NMR (D<sub>2</sub>O)  $\delta$  4.08 (bs, 2 H), 3.84 (dd, J = 10.0, 6.5Hz, 1 H), 3.57 (dd, J = 11.8, 6.3 Hz, 1 H), 3.16 (bd, J = 10.3 Hz, 1 H)1 H), 2.70 (bd, J = 9.7 Hz, 1 H), 2.55 (db, J = 11.5 Hz, 2 H), 1.80–1.67 (bm, 2 H), 1.52 (bd, J = 14.3 Hz, 2 H); 300-MHz <sup>1</sup>H NMR (CD<sub>3</sub>OD)  $\delta$  3.94 (m, 2 H), 3.85 (dd, J = 8.5, 7.0 Hz, 1 H), 3.34 (dd, J = 10.3, 6.4 Hz, 1 H), 2.93 (bd, J = 10.7 Hz, 1 H), 2.14(m, 3 H), 1.82–1.74 (bm, 2 H), 1.44–1.37 (bm, 2 H); <sup>13</sup>C NMR (D<sub>2</sub>O) δ 71.4, 68.9, 64.7, 62.0, 55.5, 31.5, 20.9; <sup>13</sup>C NMR (CD<sub>3</sub>OD) δ 72.0, 70.7, 68.0, 64.1, 62.3, 54.0, 31.8, 20.4; mass spectrum (EI) m/z (rel inten) (M+) 173 (22.6), 172 (3.5), 156 (13.4), 155 (22.9), 116 (32.5), 113 (100), 96 (75.2); exact mass calcd for C<sub>8</sub>H<sub>15</sub>NO<sub>8</sub> 173.1052, found 173.1064.

Preparation of (1(S),2(R),8(R),8a(S))-8-(Benzoyloxy)-1,2-(isopropylidenedioxy)octahydro-5-indolizidinone 25. To a

## Synthesis of Highly Oxygenated Indolizidine Alkaloids

solution of (1(S), 2(R), 8(S), 8a(S))-8-hydroxy-1,2-(isopropylidenedioxy)octahydro-5-indolizidinone (42.3 mg, 0.186 mmol) in CH2-Cl<sub>2</sub> (2 mL) at 0 °C was added methanesulfonyl chloride (42.6 mg. 0.372 mmol) and triethylamine (75.3 mg, 0.744 mmol), and the resulting solution was stirred at 0 °C for 30 min. The solution was concentrated to dryness, dissolved in EtOAc (10 mL), washed with water and brine, dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated to give the desired mesylate (100%):  $R_f 0.42$  (10% EtOH/EtOAc). A solution of the mesylate (56.7 mg, 0.186 mmol) and sodium benzoate (53.6 mg, 0.372 mmol) in DMF (2 mL) was refluxed for 4 h and then concentrated. The residue was taken up in EtOAc (10 mL), washed with 1% HCl and saturated NaHCO<sub>3</sub>, dried  $(Na_2SO_4)$ , and concentrated. Flash chromatography of the residue, eluting with 5% EtOH/EtOAc, gave 25 (53.0 mg, 86%) as a clear oil: R<sub>f</sub> 0.58 (10% EtOH/EtOAc); [α]<sub>D</sub>-43.7° (c 1.13, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>) cm<sup>-1</sup> 3020, 2995, 2940, 1720, 1640, 1450, 1375, 1265, 1085, 845; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  5.73 (ddd, J = 4.0, 2.4, 2.4 Hz, 1 H), 4.74 (ddd, J = 6.0, 6.0 Hz, 2.1 Hz, 1 H), 4.57 (dd, J = 6.2, 6.2 Hz, 1 H), 4.29 (dd, J = 13.9, 6.2 Hz, 1 H), 3.79(dd, J = 6.6, 2.6 Hz, 1 H), 3.56 (dd, J = 13.9, 1.8 Hz, 1 H), 2.50(m, 2 H), 2.35 (m, 1 H), 2.05 (m, 1 H), 1.56 (s, 3 H), 1.34 (m, 3 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 168.2, 165.4, 133.6, 129.7, 129.4, 128.7, 113.6, 79.6, 76.4, 65.8, 65.7, 49.3, 27.8, 26.4, 25.6, 25.6; mass spectrum (EI) m/z (rel inten) (M+) 331 (1.9), 316 (2.2), 209 (72.2), 152 (52.9), 105 (100); exact mass calcd for  $C_{18}H_{21}NO_5$  331.1412, found 331.1419.

Preparation of (1(S), 2(R), 8(R), 8a(S))-8-Hydroxy-1,2-(isopropylidenedioxy)octahydro-5-indolizidinone. To a solution of the amide 25 (47.0 mg, 0.142 mmol) in THF (3 mL) was added BH<sub>3</sub>·SMe<sub>2</sub> (70 µL of a 10.0 M neat solution, 0.710 mmol) and the resulting solution was stirred at rt for 2 h. The reaction mixture was quenched by carefully adding EtOH (5 mL) and then concentrated. The residue was dissolved in MeOH (5 mL), K<sub>2</sub>-CO<sub>3</sub> (39.1 mg, 0.284 mmol) was added, and the resulting solution was refluxed for 4 h. The reaction mixture was cooled to rt, neutralized to pH 7.0 with 1% HCl, and concentrated. The residue was purified by flash chromatography, eluting with 10% EtOH/EtOAc to give the amine (28.6 mg, 94%) as a clear oil:  $R_f$ 0.28 (10% EtOH/EtOAc);  $[\alpha]_D$ -43.7° (c 1.13, CHCl<sub>3</sub>), IR (CDCl<sub>3</sub>) cm<sup>-1</sup> 3510, 2940, 1380, 1370, 1205, 1155, 1070, 1005; 300-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  4.63 (m, 2 H), 4.05 (bs, 1 H), 3.38 (dd, J = 9.6, 6.2 Hz, 1 H), 2.94 (dd, J = 11.3, 4.4 Hz, 1 H), 2.38 (bs, 1 H), 2.36 (dd, J = 9.4, 5.34 Hz, 1 H), 2.24–2.15 (m, 2 H), 1.93–1.73 (m, 2 H), 1.51 (s, 3 H), 1.50–1.37 (m, 2 H), 1.33 (s, 3 H); <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  113.9, 79.8, 77.1, 72.3, 64.7, 60.3, 52.3, 30.8, 27.1, 25.0, 19.1; mass spectrum (EI) m/z (rel inten) (M+) 213 (54.8), 212 (4.1), 198 (9.9), 156 (23.6), 155 (12.7), 138 (100), 126 (27.4), 122 (5.1), 113 (89.2); exact mass calcd for C<sub>11</sub>H<sub>19</sub>NO<sub>3</sub> 213.1365, found 213.1361.

Preparation of (1(S),2(R),8(R),8a(S))-Octahydro-1,2,8indolizidinetriol (8,8a-di-epi-swainsonine) 26. This material was prepared from (1(S), 2(R), 8(S), 8a(S)) - 1, 2-O-isopropylideneoctahydro-1,2,8-indolizidinetriol as previously described in 86% yield: mp 121-123 °C; Rf 0.37 (NH4OH/nBuOH/EtOH/  $CH_2Cl_2$  (1:3:3:3));  $[\alpha]_D - 49.8^\circ$  (c 0.71, MeOH); IR (CHCl<sub>8</sub>) cm<sup>-1</sup>: 300-MHz <sup>1</sup>H NMR (D<sub>2</sub>O)  $\delta$  4.08 (bs, 2 H), 3.84 (dd, J = 10.0, 6.5Hz, 1 H), 3.57 (dd, J = 11.8, 6.3 Hz, 1 H), 3.16 (bd, J = 10.3 Hz, 1 H), 2.70 (bd, J = 9.7 Hz, 1 H), 2.55 (db, J = 11.5 Hz, 2 H), 1.80–1.67 (bm, 2 H), 1.52 (bd, J = 14.3 Hz, 2 H); 300-MHz <sup>1</sup>H NMR (CD<sub>3</sub>OD)  $\delta$  3.94 (m, 2 H), 3.85 (dd, J = 8.5, 7.0 Hz, 1 H), 3.34 (dd, J = 10.3, 6.4 Hz, 1 H), 2.93 (bd, J = 10.7 Hz, 1 H), 2.14(m, 3 H), 1.82-1.74 (bm, 2 H), 1.44-1.37 (bm, 2 H); <sup>13</sup>C NMR (D<sub>2</sub>O) δ 71.4, 68.9, 64.7, 62.0, 55.5, 31.5, 20.9; <sup>13</sup>C NMR (CD<sub>3</sub>OD) δ72.1, 70.7, 68.0, 64.2, 62.5, 54.0, 31.9, 20.6; mass spectrum (EI) m/z (rel inten) (M+) 173 (27.6), 172 (6.7), 156 (11.9), 155 (28.1), 116 (26.0); exact mass calcd for C<sub>8</sub>H<sub>15</sub>NO<sub>3</sub> 173.1052, found 173.1051.

Acknowledgment. Financial support of this research by the National Institutes of Health is gratefully acknowledged.

Supplementary Material Available: Photocopies  $(8.5 \times 11)$  of <sup>1</sup>H and <sup>13</sup>C NMR spectra for all compounds for which exact masses rather than C,H analyses were obtained: 7, 18–26, and unnumbered intermediates in the conversion of 19–22 and 21–23 (32 pages). This material is contained in libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.